

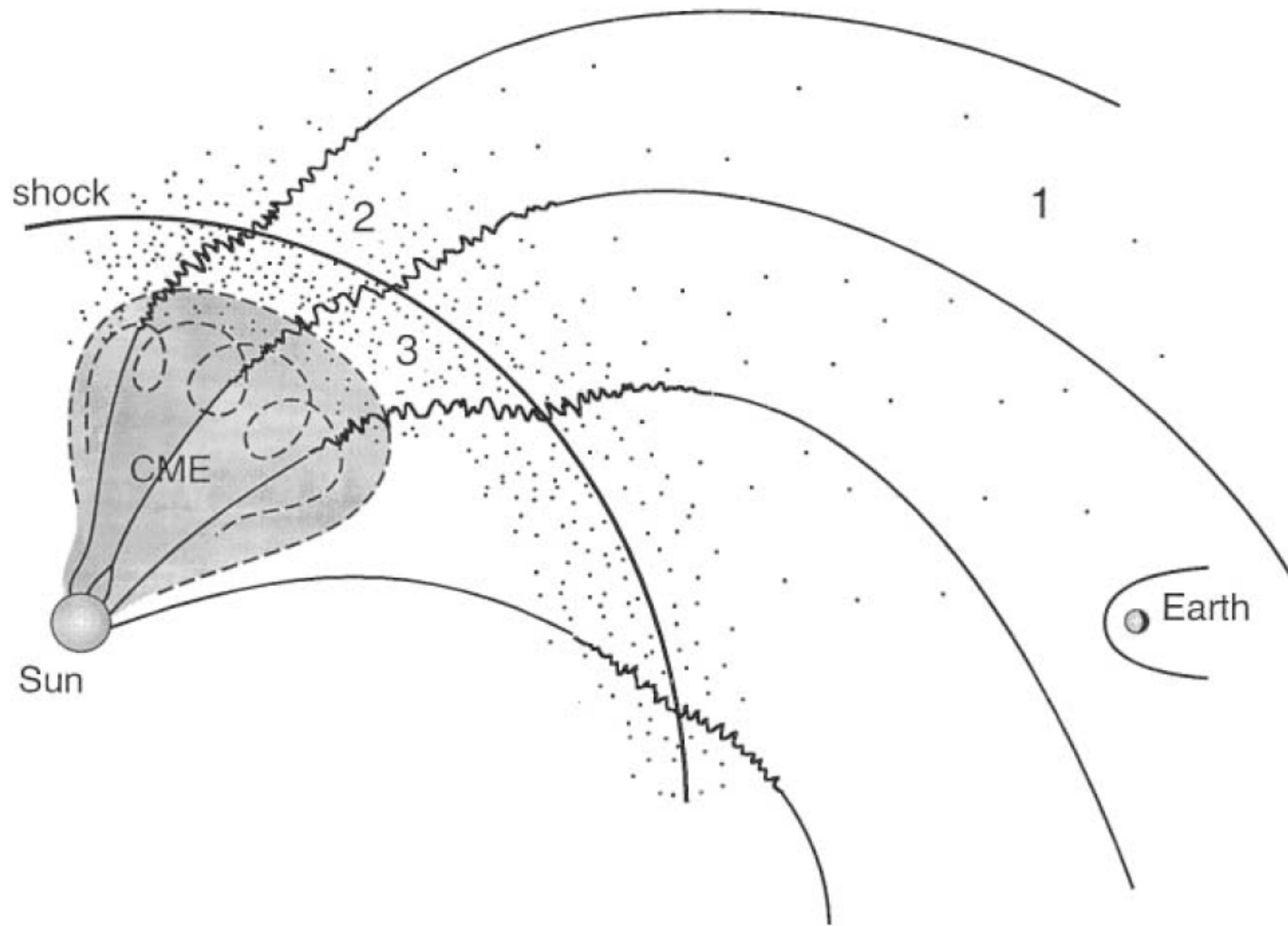
An Analytical Theory of Diffusive Shock Acceleration for Gradual SEP Events

Marty Lee



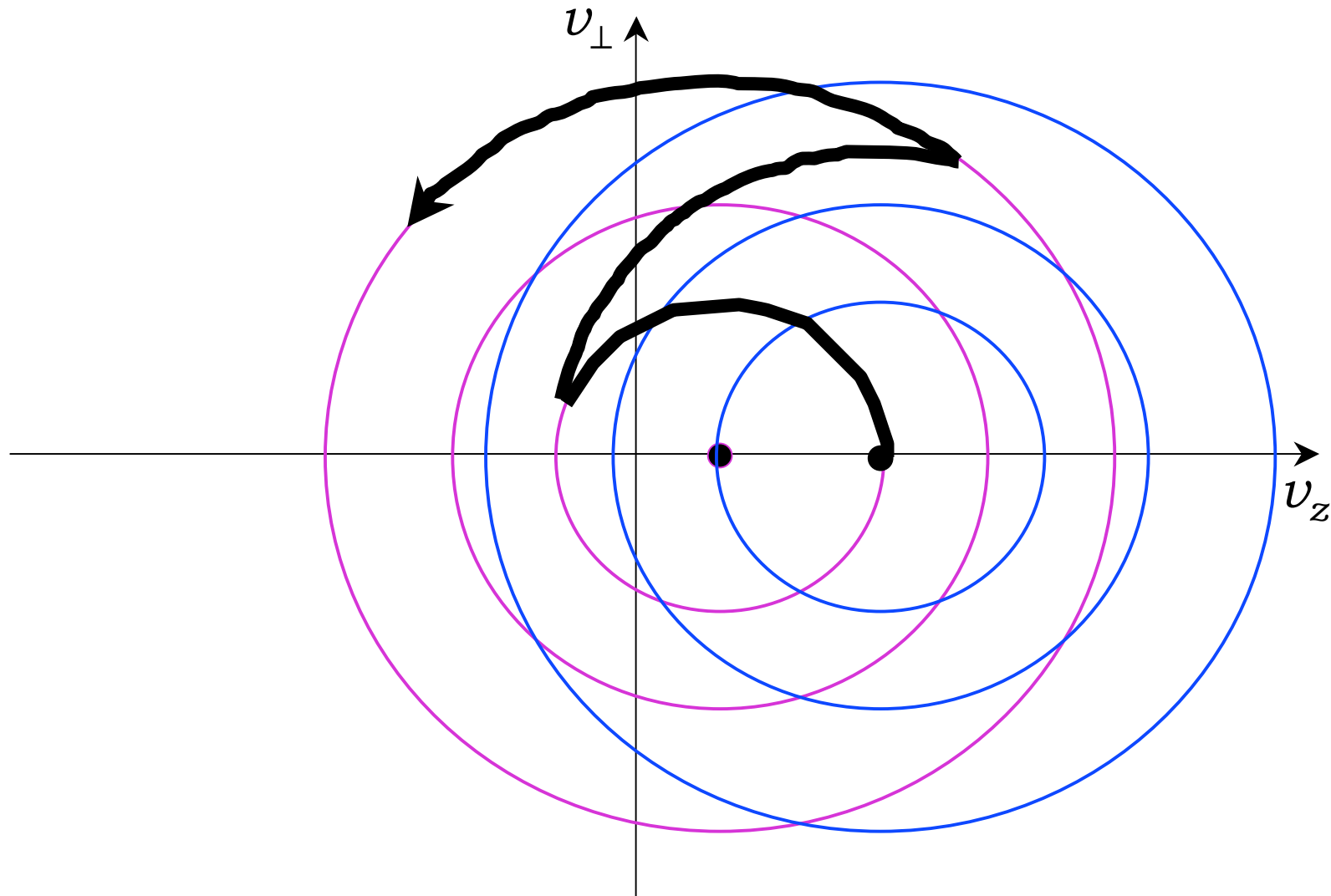
Durham, New Hampshire
USA

Acceleration at a CME-Driven Shock



Lee, 2005

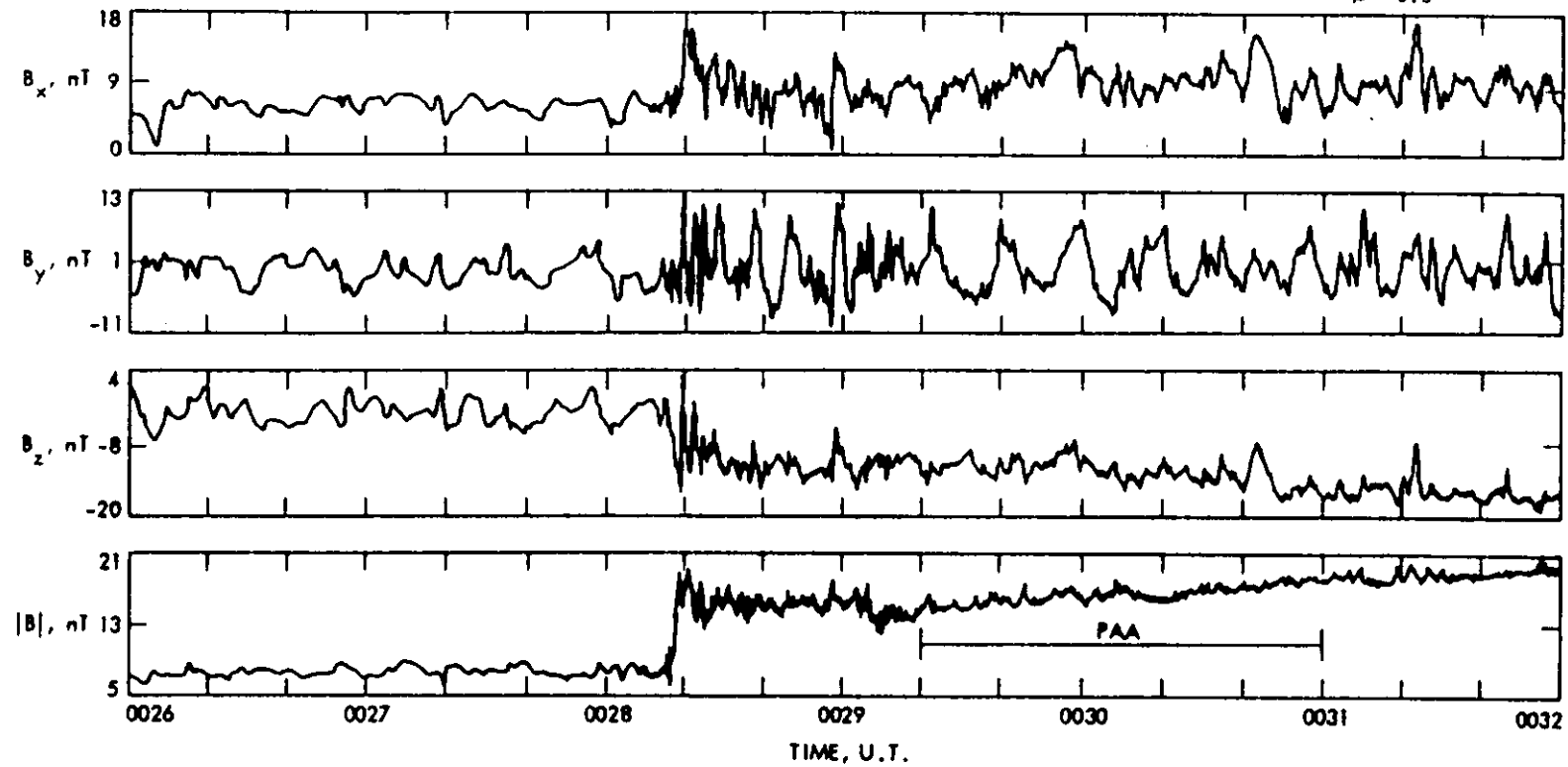
First-Order Fermi Acceleration



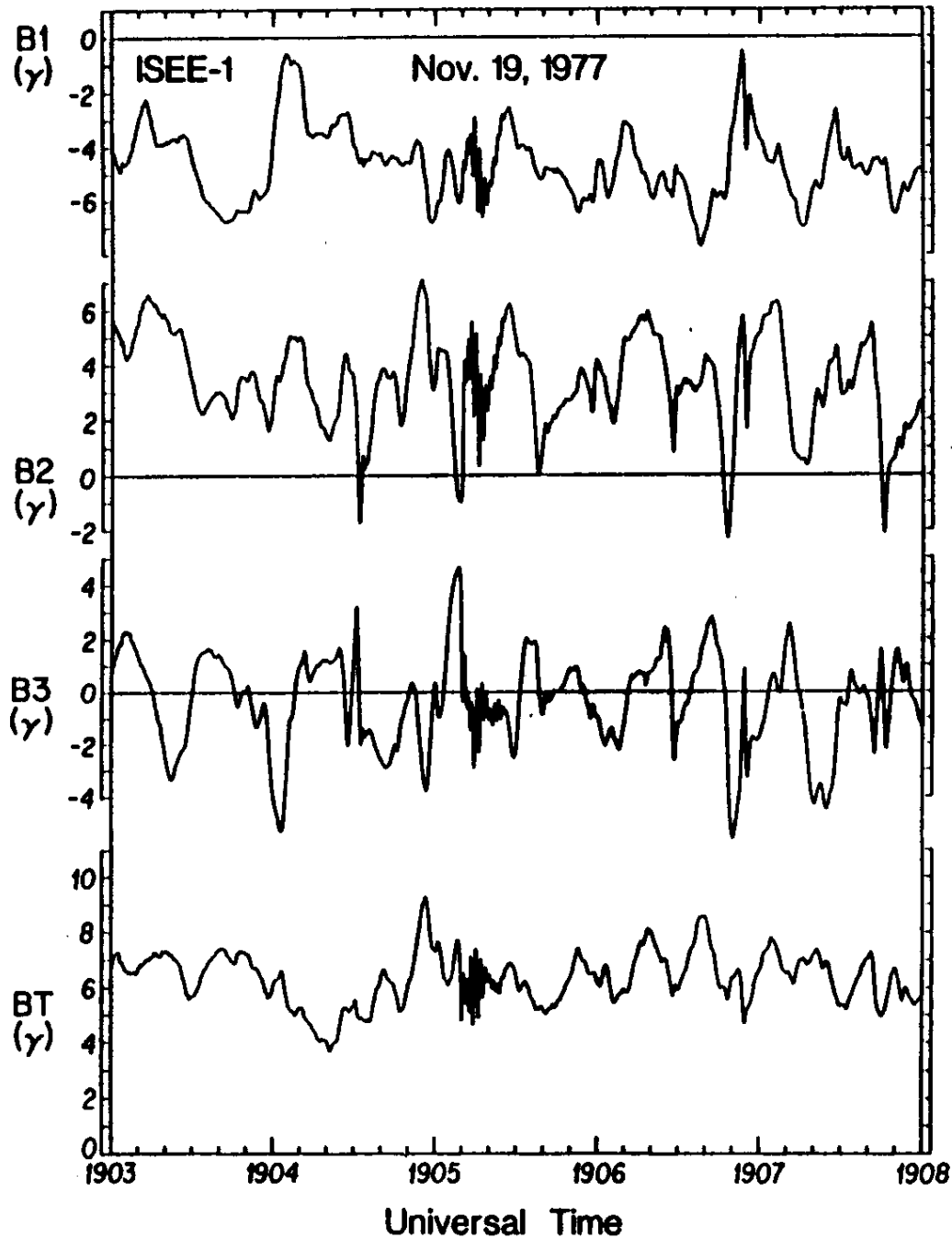
Upstream Waves I

DAY 316, 1978
NOVEMBER 12
ISEE-3

$\hat{n} = (-.96, .28, .09)$
 $\theta_B = 22^\circ$
 $M_s = 4.7$
 $\beta = 0.5$



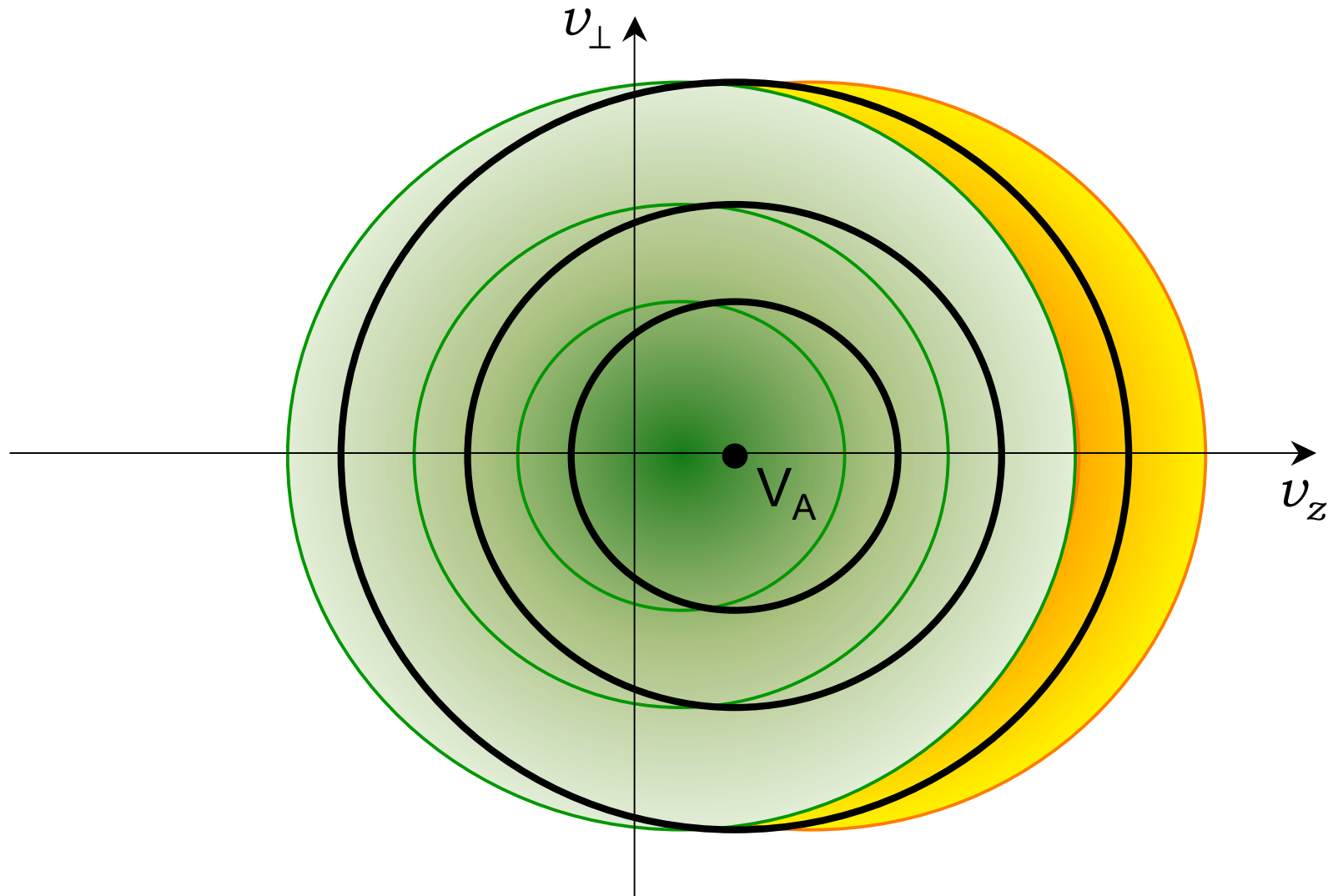
Tsurutani et al., 1983



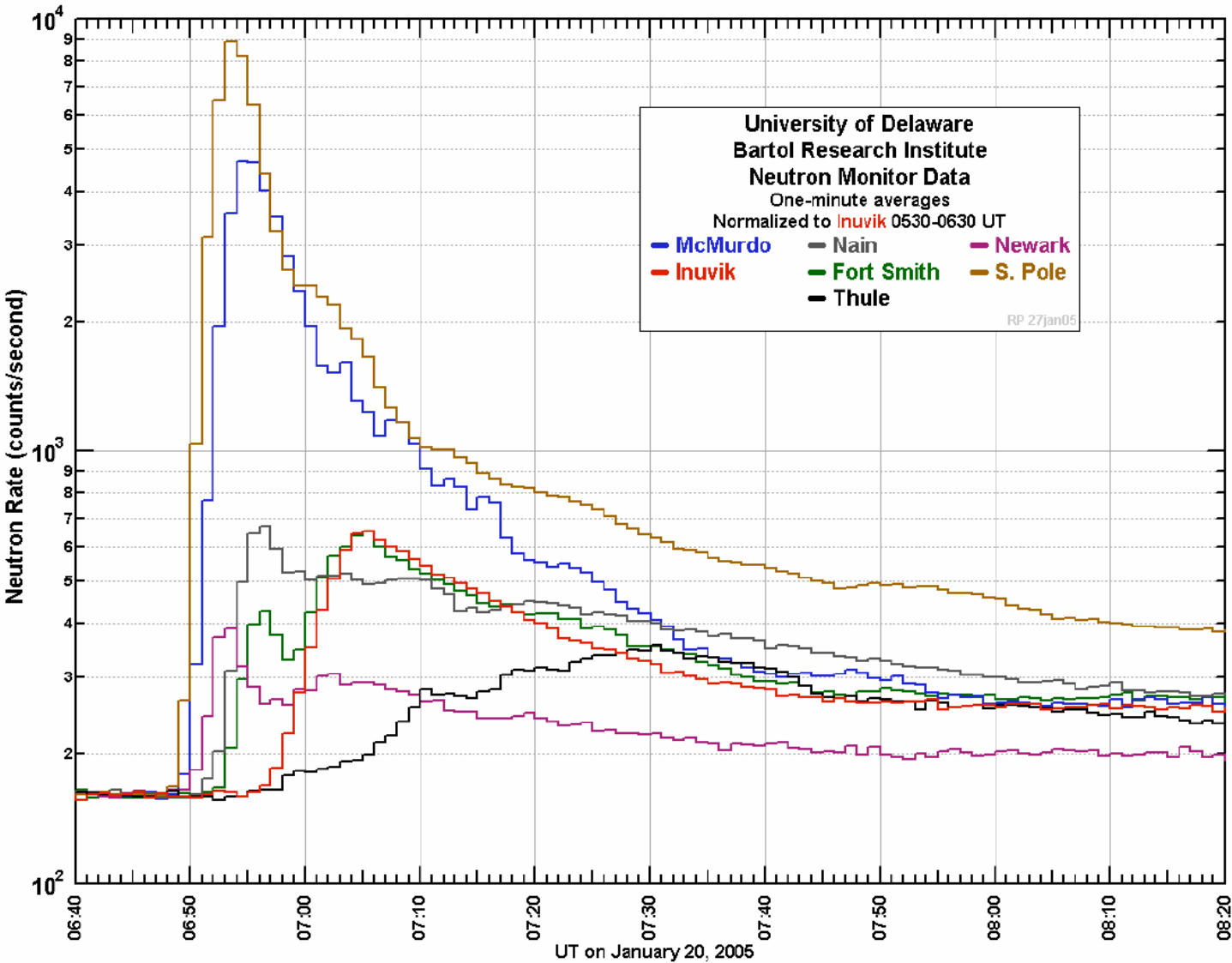
Upstream Waves II

Hoppe et al., 1981

Instability Mechanism

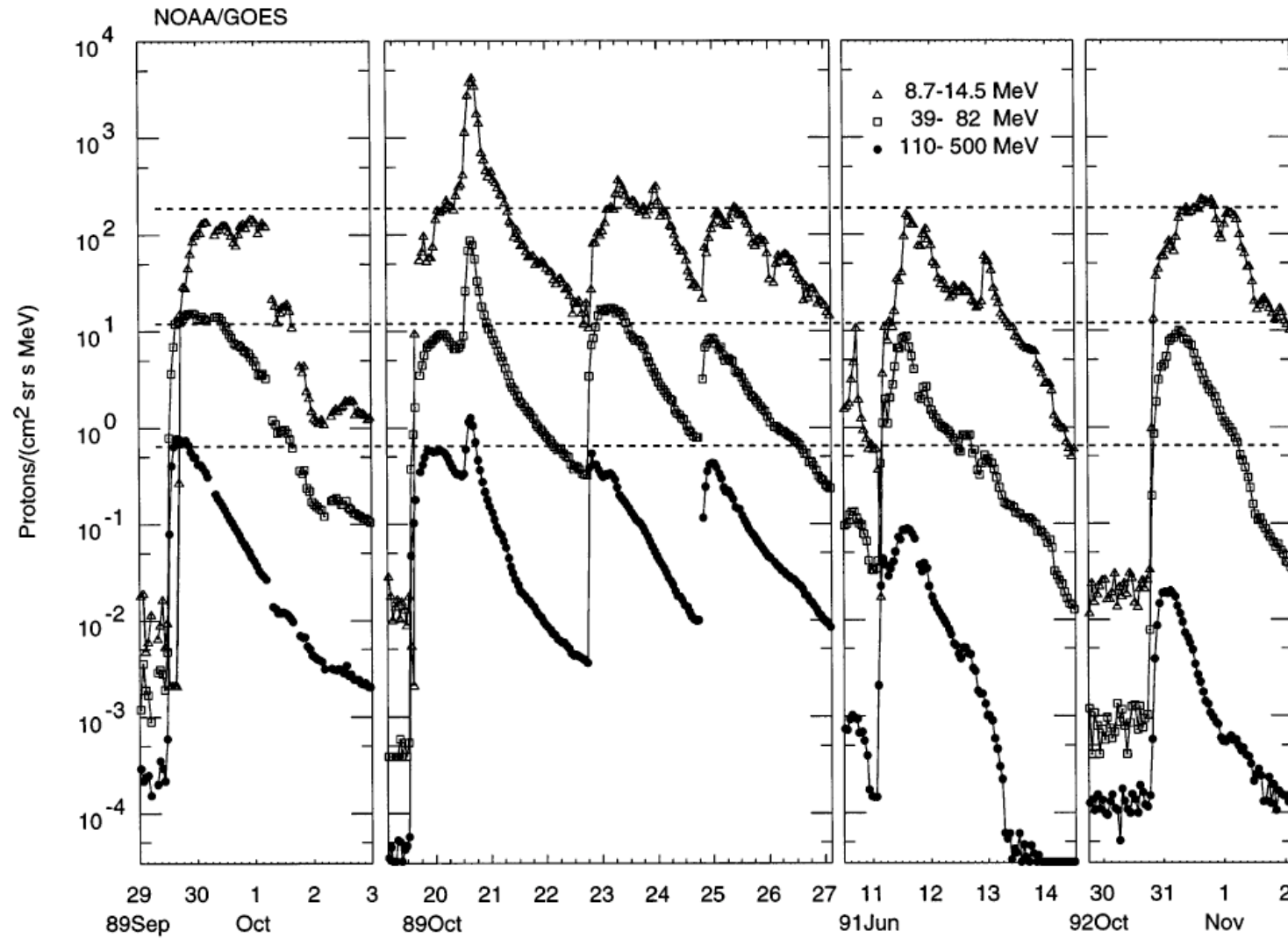


January 20, 2005 GLE Event

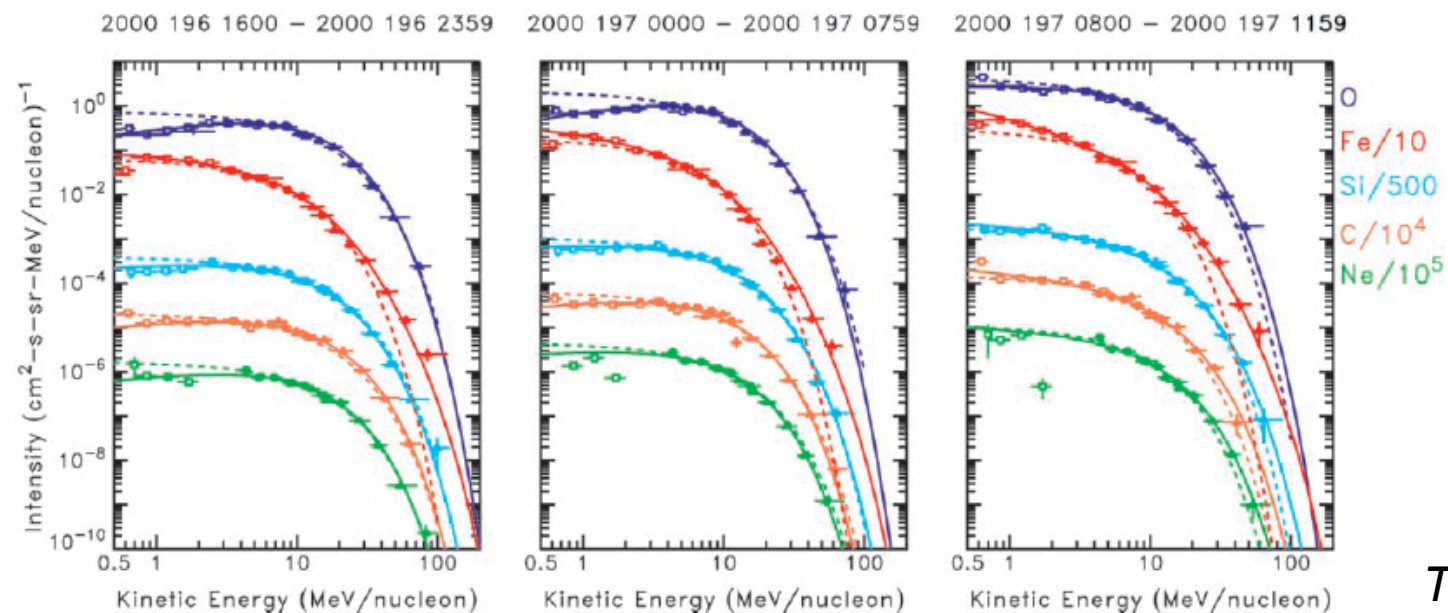
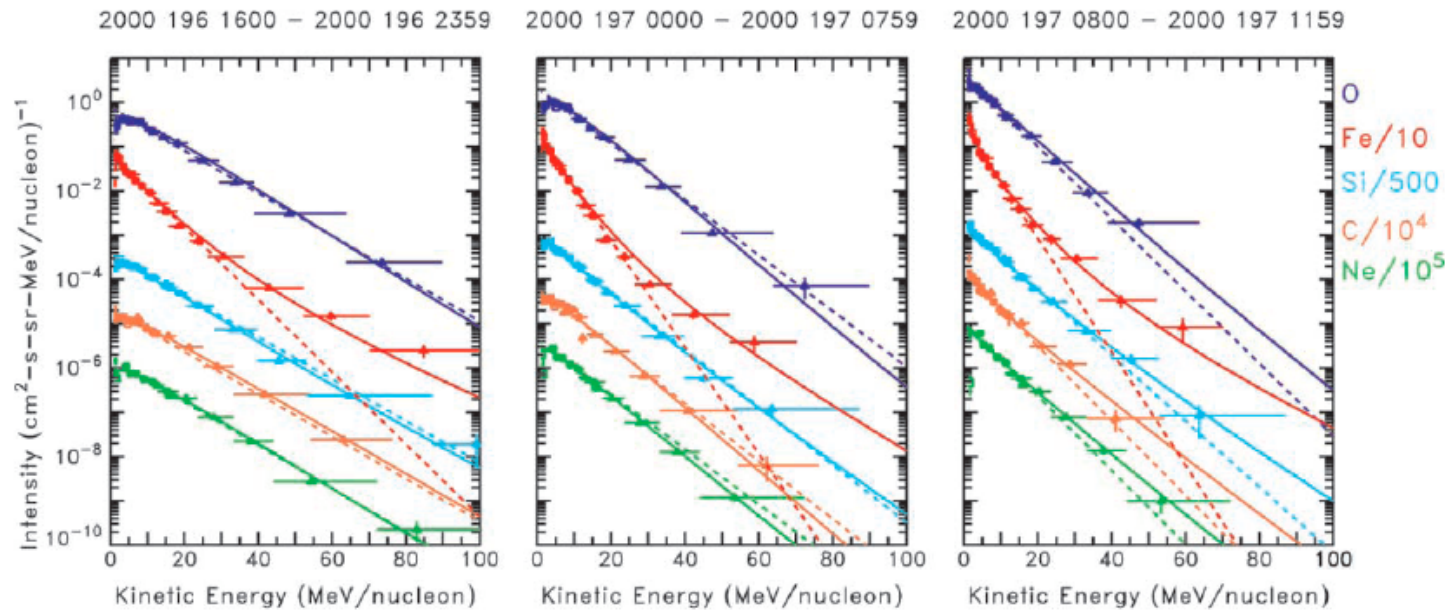


Bieber et al., 2005

Large SEP Events



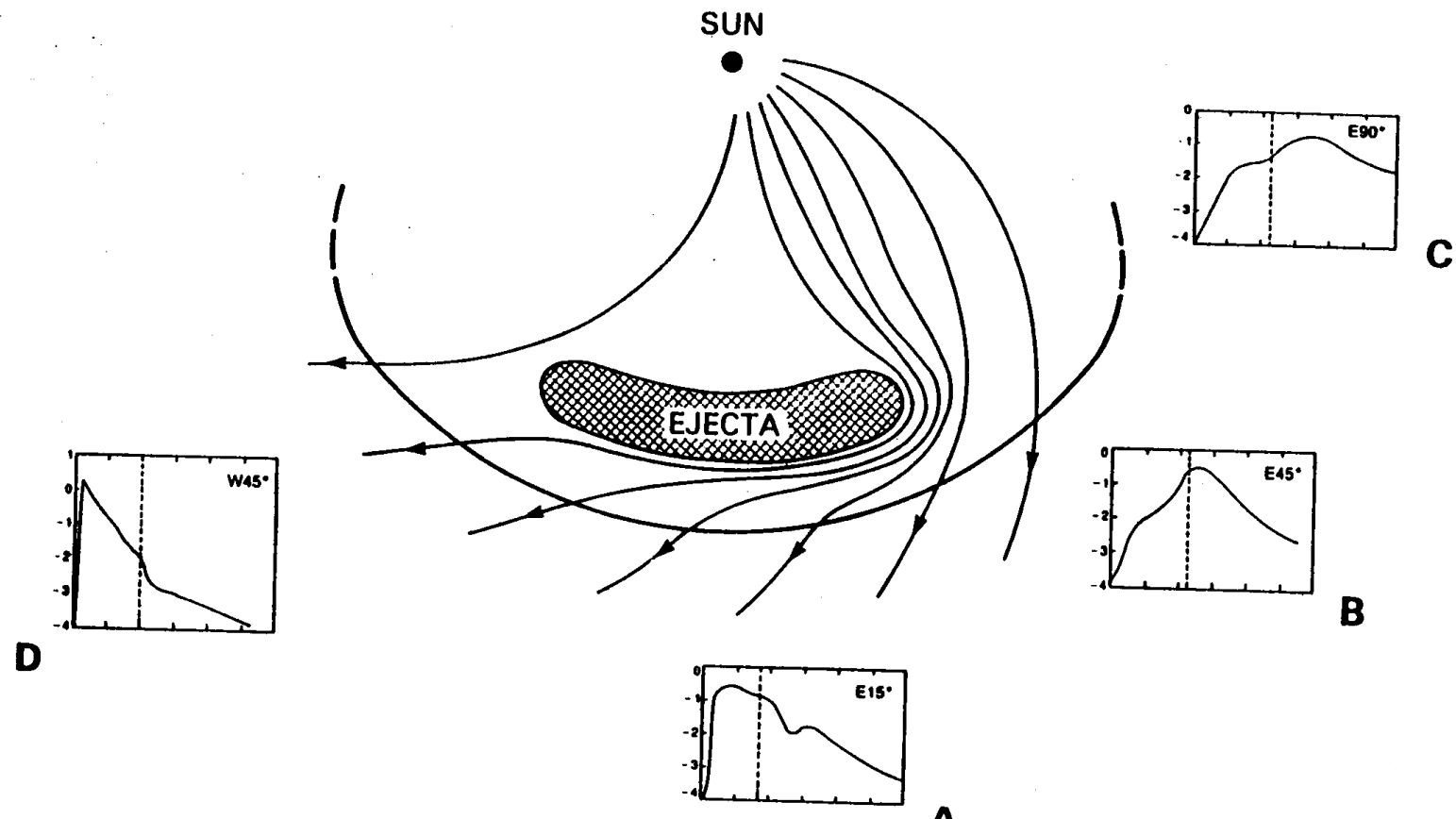
Reames and Ng, 1998



SEP Energy Spectra

Tylka et al., 2001

Shock Geometry



Cane et al., 1988

Shock Acceleration I

$$V_z \frac{\partial f_i}{\partial z} - \frac{\partial}{\partial z} \left(K_{i,zz} \frac{\partial f_i}{\partial z} \right) - \frac{1}{3} \frac{dV_z}{dz} v \frac{\partial f_i}{\partial v} = Q_i$$

$$\xi(z) = \int_0^z [V/K_{zz}(z')] dz'$$

$$f(z > 0, v) = f_0 - (f_0 - f_\infty)(1 - e^{-\xi})(1 - e^{-\xi_\infty})^{-1}$$

$$f_0 \equiv \beta \int_0^v \frac{dv'}{v'} f_\infty(v') (1 - e^{-\xi'_\infty})^{-1} \left(\frac{v}{v'} \right)^{-\beta} \exp \left[-\beta \int_{v'}^v \frac{dv''}{v''} e^{-\xi''_\infty} (1 - e^{-\xi''_\infty})^{-1} \right]$$

Shock Acceleration II

$$-K_{zz} \frac{\partial f}{\partial z} \bigg|_{z \rightarrow \infty} = V(f_0 - f_\infty) e^{-\xi_\infty} (1 - e^{-\xi_\infty})^{-1}$$

Shock Acceleration III

$$-V \partial I_{\pm} / \partial z = 2\gamma_{\pm} I_{\pm}$$

$$I \cong I_{+} = I_{+}^{\circ}(k) + \frac{4\pi^2}{k^2} \frac{V_A}{V} |\Omega_p| m_p \cos\psi \int_{|\Omega_p/k|}^{\infty} dv v^3 \left(1 - \frac{\Omega_p^2}{k^2 v^2}\right) (f_p - f_{p,\infty})$$

$$f_{p,\infty} = \bar{n}_p (4\pi v_{p,0}^2)^{-1} \delta(v - v_{p,0}) + \bar{C} v^{-\gamma} S(v - \bar{v}_{p,0})$$

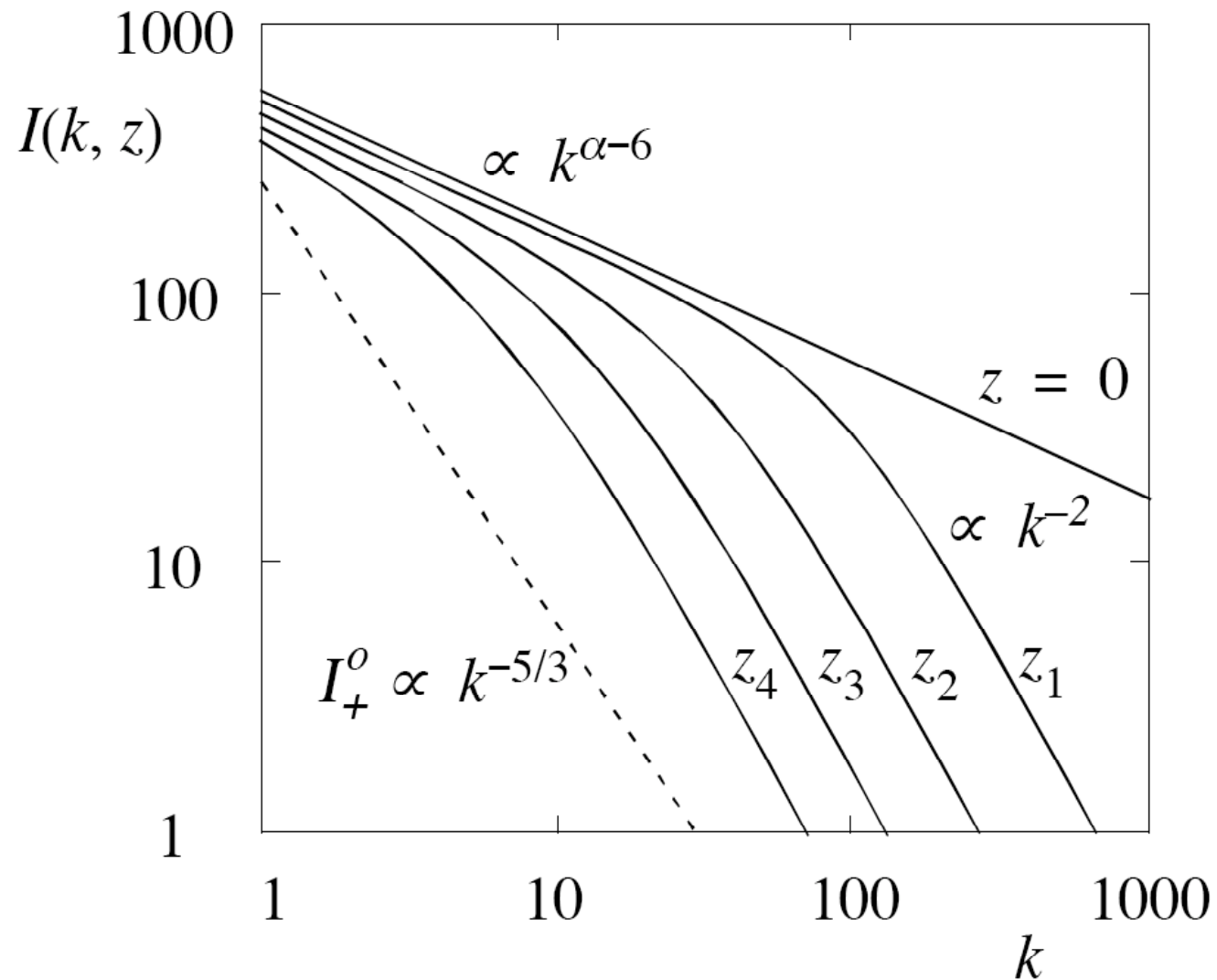
Shock Acceleration IV

$$I = I_{+}^{\circ} + \frac{4\pi^2}{k^2} \frac{V_A}{V} |\Omega_p| m_p \cos\psi \int_{|\Omega_p/k|}^{\infty} dv v^3 \left(1 - \frac{\Omega_p^2}{k^2 v^2}\right).$$

$$\cdot \left\{ \frac{\beta \bar{n}}{4\pi v_{0,p}^3} \left(\frac{v}{v_{0,p}}\right)^{-\beta} S(v - v_{0,p}) - \frac{\bar{n}}{4\pi v_{0,p}^2} \delta(v - v_{0,p}) \right. \\ \left. + \frac{\bar{C} \bar{v}_{0,p}^{-\gamma}}{\beta - \gamma} \left[\gamma \left(\frac{v}{\bar{v}_{0,p}}\right)^{-\gamma} - \beta \left(\frac{v}{\bar{v}_{0,p}}\right)^{-\beta} \right] S(v - \bar{v}_{0,p}) \right\}.$$

$$\cdot \exp \left\{ -V \int_0^z dz' \left[\cos^2\psi \frac{v^3}{4\pi} \frac{B_0^2}{\Omega_p^2} \int_{-1}^1 d\mu \frac{|\mu| (1 - \mu^2)}{I(\Omega_p \mu^{-1} v^{-1})} + \sin^2\psi K_{\perp} \right]^{-1} \right\}$$

Shock Acceleration V



Analytical and Numerical Calculations

Proposed I

1. Calculation of $I(k, z)$
with dependence on $\psi, K_{\perp}, \xi_p(\psi), v_0(\psi)$
2. Role of shock obliquity (ψ)
(Tylka and Lee, 2006)

Analytical and Numerical Calculations

Proposed II

3. Form of exponential rollover
with dependence on $E, \psi, A/Q, K_{\perp}$
4. Ion spatial profiles upstream of the shock
with dependence on $E, \psi, A/Q, K_{\perp}$
5. Escaping ions and the streaming limit
with dependence on $E, A/Q$
6. Inferring ξ, β from ESP events

$$I \propto k^{-\lambda}$$

$$K_{\perp} = 0$$

Spectral Rollover:

$$\exp\left[-\beta \int_{v_0}^v \frac{dv'}{v'} \frac{e^{-\xi_{\infty}'}}{1 - e^{-\xi_{\infty}'}}\right] \rightarrow \exp\left[-\beta \int_{v_0}^v \frac{dv'}{v'} \frac{1}{\xi_{\infty}'}\right]$$

$$\xi_{\infty}^i \propto (Q_i / A_i)^{2-\lambda} v^{\lambda-3}$$

$$\rightarrow \exp\left[-C(\psi)(A_i / Q_i)^{2-\lambda} v^{3-\lambda}\right]$$

$$\text{Mewaldt et al.}; \quad E_0^i \propto (Q_i / A_i)^b \quad b = 2 \frac{2-\lambda}{3-\lambda}$$

Work Plan: Way Behind!

Year 1: Complete and publish analytical work

Year 2: Develop the numerical algorithm and test the analytical approximation.

Year 3: Systematic comparison of results with selected events